

Inventors: Uri Galili  
Haruko Ogawa

## **IMMUNE TOLERANCE TO PREDETERMINED ANTIGENS**

### **CLAIM OF PRIORITY**

[0001] This application claims priority to United States Provisional Patent Application No. 60/315,434 filed on August 28, 2001, and is a continuation-in-part of International Application No. PCT/US02/025283 filed on August 9, 2002, the entire contents of both of which are hereby incorporated by reference.

### **STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH**

[0002] This invention was made with Government support awarded by NIH Grant No. AI45849. The Government has certain rights in this invention.

### **TECHNICAL FIELD**

[0003] The present invention relates to the induction of immune tolerance to a predetermined antigen such as a carbohydrate antigen, or a protein antigen and more specifically to the induction of immune tolerance by the expression of that predetermined antigen on autologous white blood cells.

### **BACKGROUND OF THE INVENTION**

[0004] Red cells and other cells in human populations express carbohydrate antigens such as blood group A [GalNAc $\alpha$ 1-3(Fuc $\alpha$ 1-2)Gal $\beta$ 1-(3)4GlcNAc-R] or B antigens [Gal $\alpha$ 1-3(Fuc $\alpha$ 1-2)Gal $\beta$ 1-(3)4GlcNAc-R]. Individuals that lack these antigens have antibodies against the corresponding antigen i.e. blood group A individuals have anti-B antibodies, blood group B

individuals have anti-A antibodies and blood group O individuals have anti-A and anti-B antibodies. Such antibodies prevent transplantation of ABO incompatible organs. For example, transplantation of an organ such as kidney from a blood group A donor to a blood group B recipient results in the rejection of the graft by the pre-existing natural anti-A antibodies in the recipient and by elicited anti-A antibodies produced by the recipient's immune system against blood group A antigen on the allograft. Accordingly, blood group A recipient will reject the kidney from a blood group B donor and blood group O individual will reject the kidney from either a blood group A donor or blood group B donor.

[0005] A similar mechanism mediates the rejection of xenografts (e.g. pig kidney or pig heart) in humans. This rejection occurs because all humans produce the natural anti-Gal antibody which constitutes ~1% of circulating immunoglobulins and which binds specifically to the  $\alpha$ -gal epitope [Gal $\alpha$ 1-3Gal $\beta$ 1-(3)4GlcNAc-R], abundantly expressed on pig cells and other nonprimate mammalian cells [Galili Immunology Today 1993]. Xenograft recipients also produce large amounts of elicited anti-Gal antibodies as part of the immune response to the  $\alpha$ -gal epitopes on the xenograft. The binding of the human natural anti-Gal and of the elicited anti-Gal to this carbohydrate epitope expressed on cells of the graft, results in effective rejection of the xenografts.

[0006] Removal of these anti-carbohydrate antibodies prior to transplantation does not prevent ABO mismatched allograft rejection, or xenograft rejection, because the immune system continues to produce high affinity IgG antibodies against these carbohydrate antigens, causing the rejection of allografts or xenografts. Therefore, induction of immune tolerance to these carbohydrate antigens will be beneficial in the prevention of the rejection of ABO incompatible (mismatched) allografts, or of xenografts.

[0007] In addition to the immune response to incompatible carbohydrate antigens, the immune system reacts against peptide antigens such as MHC (major histocompatibility complex allo-antigens) on allografts and against peptide xenoantigens on xenografts. Whereas, xenotransplantation is a practice of the future, the extensive immune response to ABO

incompatible allografts exacerbates their rejection, to the extent that in the USA, transplantation of such grafts from ABO incompatible donors (e.g. kidney donation between close relatives) is usually not practiced. It is practiced, however, in Europe and Japan, where the incompatible anti-blood group antibodies and the spleen of the recipient are usually removed prior to transplantation. The induction of tolerance to the carbohydrate antigens is likely to reduce the overall immune response to the graft, because of the lack of anti-blood group immune response, and is likely to obviate the need for removal of the spleen in the recipient. Induction of tolerance to protein antigens such as major histocompatibility complex (MHC) antigens is likely to decrease the tendency of graft recipients to reject their graft.

### **SUMMARY OF THE INVENTION**

[0008] The present invention provides methods and composition for suppressing an immune response of a mammal to a desired antigen. The present methods can also be used to induce partial or complete immune tolerance of a desired antigen in a mammal. As used herein, immune tolerance includes, but does not require, providing complete tolerance against an antigen of interest in an animal. Generally, the antigens to which immune tolerance induced are not native, i.e. not naturally produced, by the mammal.

[0009] Generally, the present methods involve engineering white blood cells to express at least a portion of an antigen of interest. According to these methods, a population of white blood cells, which can be present in a cell population made up primarily of white blood cells or can be white blood cells in a mixed cell population, are engineered to express at least a portion of an antigen of interest. Preferably the portion of the antigen of interest is itself, antigenic. The portion of the antigen of interest can also be the entire antigen where desired. Different cells in the white blood cell population can be engineered to express the same or different portions of the antigen of interest.

[0010] The engineered white blood cells expressing the at least a portion of the antigen of interest are then administered to an individual of interest thereby inducing immune tolerance in

the individual to the antigen of interest. The present methods can further involve obtaining and/or isolating a white blood cell population from a mammal, and in particular the mammal of interest. Once obtained the white blood cell population can be expanded to provide an additional source of the white blood cells. In some embodiments, the white blood cells engineered to express at least a portion of the antigen of interest are from an individual other than the individual to whom the engineered white blood cells are administered. In preferred embodiments, the white blood cells are obtained from, and administered back into, the same individual or patient.

[0011] The present invention also provides compositions containing the white blood cells engineered to express the antigen of interest. In particular, pharmaceutical compositions containing the engineered white blood cells for administration to the mammal or patient are provided.

[0012] The present invention can also provide an animal model for inducing immune tolerance to a desired antigen or antigens. According to these embodiments, white blood cells expressing a specific antigen are administered to an animal and the animal is then subjected to the antigen, such as through tissue transplantation, antigen injection, via autologous tissue in the case of an autoimmune disease or the like. The response of the animal to the antigenic stimuli can then be measured. These models can be used to measure the antigenicity of a specific antigen and/or the effectiveness of the present compositions and techniques in inducing immune tolerance to the antigens. The response of the animal to the antigenic stimuli can also be compared to the response of a control animal which has not received the engineered white blood cells.

[0013] In other embodiments, mature B lymphocytes, capable of producing antibodies to cell surface antigens, such as carbohydrate antigens, are induced to undergo immune tolerance when they encounter the cognate antigen expressed on autologous white blood cells such as lymphocytes and monocytes. The basis for that tolerance is believed to be that in the absence of any T cell help, the cross linking of B cell receptors by the cognate carbohydrate antigen on

autologous cells results in tolerance induction on the B cell. Antigens such as blood group A or B antigens, or the  $\alpha$ -gal epitope, do not activate T cells, because their interaction with T cell receptors can not include the accessory molecules of the receptor. This type of tolerance can be induced by using autologous white blood cells, in particular peripheral blood lymphocytes, engineered to express the carbohydrate antigen. One method for achieving expression of antigens, such as carbohydrate antigens, is by transduction of lymphocytes and other white blood cells with a replication defective adenovirus vector that contains the gene encoding for the predetermined antigen. In the case of carbohydrate antigens the gene inserted into the adenovirus genome is the glycosyltransferase gene encoding the enzyme that synthesizes the carbohydrate antigen. Such genes can also be introduced into the white blood cells by any suitable method that introduces genes into cells, such as electroporation of naked DNA plasmids. The transduced cells are administered into the mammalian host subsequent to the removal of circulating antibodies against the antigen. B cells encountering the autologous transduced cells expressing the antigen will undergo tolerance.

[0014] In yet another embodiment, the white blood cells are transduced with a gene encoding for a protein such as MHC molecules and administered back to the mammalian host. Prevention of T cell response to that protein antigen is achieved by any clinically acceptable method for T cell immunosuppression. B cell exposure to that protein antigen in the absence of T cell help results in tolerance of these B cells.

### BRIEF DESCRIPTION OF THE DRAWINGS

**Figure 1.** Mechanisms for activation and tolerance of anti-Gal B cells.

[0015] A. In xenograft recipients, xenoglycoproteins expressing  $\alpha$ -gal epitopes contain multiple immunogenic xenoepitopes. The xenoglycoproteins are internalized by the anti-Gal B cell, subsequent to interaction of  $\alpha$ -gal epitopes with anti-Gal B cell receptors (BCR). The processed immunogenic xenoepitopes (●, ■, ▲) are presented in association with class II MHC

molecules and effectively activate many helper T cells with the corresponding T cell receptors (TCR) specificities. These activated T cells provide the help to the B cell to complete its activation, undergo proliferation, isotype switch and affinity maturation, for the ultimate production of high affinity anti-Gal IgG.

[0016]        **B.** Tolerance induction on naïve and memory anti-Gal B cells as a result of anti-Gal B BCR binding  $\alpha$ -gal epitopes on autologous or syngeneic cells. This interaction leads to clustering of the BCR resulting in tolerization signal.

[0017]        **Figure 2.** Expression of  $\alpha$ -gal epitopes on HeLa cells transduced by Ad $\alpha$ GT ( $1 \times 10^{10}$  MOI/ml), as measured by flow cytometry of cells stained with BS lectin (A) or with human anti-Gal (B). Closed histograms- untransduced cells; open histograms- cells transduced with Ad $\alpha$ GT.

[0018]        **Figure 3.** *In vivo* follow up of KO lymphocytes that were transduced *in vitro* with Ad $\alpha$ GT and administered into irradiated KO mice. KO lymphocytes were transduced for 4 h by  $10^{10}$  infectious units/ml of Ad $\alpha$ GT, washed and administered into irradiated KO recipients. (a) KO lymphocytes transduced with parental adenovirus vector lacking  $\alpha 1,3$ GT gene, obtained on day 1 post transduction.; (b) Ad $\alpha$ GT transduced lymphocytes obtained on day 1; (c) day 2; (d) day 3; (e) day 4; (f) day 7; (g) KO lymphocytes; (h) WT lymphocytes. Lymphocytes were stained by FITC-BS lectin (10  $\mu$ g/ml) and assayed by flow cytometry. Note that Ad $\alpha$ GT transduced lymphocytes expressed  $\alpha$ -gal epitopes on days 1–3, but not on day 7.

[0019]        **Figure 4.** PCR analysis of lymphocytes for intact exon 9 of  $\alpha 1,3$ GT gene. Genomic DNA was extracted from spleen lymphocytes and subjected to PCR for the exon 9 of the mouse  $\alpha 1,3$ GT gene. (a) PCR analysis for WT lymphocytes using 3–200 ng template DNA. (b) PCR analysis for KO lymphocytes transduced with Ad $\alpha$ GT, 24 h post transduction, using template DNA as for WT lymphocytes. (c) PCR analysis for KO lymphocytes obtained 1, 2, 3, 4 and 7 days post transduction, using 500 ng template DNA. (d) PCR analysis for KO lymphocytes

from five tolerized mice, which were transferred into five secondary recipients. The PCR reaction included 500 ng DNA as template and all were negative for intact exon 9.

[0020] **Figure 5.** Expression of  $\alpha$ -gal epitopes on Ad $\alpha$ GT transduced lymphoid populations. Splenocytes were double stained with BS lectin and anti-mouse CD3 (a–c), anti-mouse CD45R/B220 (d–f) or anti-mouse CD11b/Mac-1 (g–i), 2 days posttransduction. (a, d, g) KO lymphoid cells transduced with control empty adenovirus vector; (b, e, h) KO lymphoid cells transduced with Ad $\alpha$ GT; (c, f, i) WT lymphoid cells. Proportion (%) of double-stained cells in each population is indicated. The low binding of BS lectin to KO cells transduced with parental adenovirus vector is the nonspecific background level.

[0021] **Figure 6.** Tolerance induction on naive anti-Gal B cells by Ad $\alpha$ GT transduced lymphocytes. (a) Production of anti-Gal IgG in tolerized mice receiving Ad $\alpha$ GT transduced lymphocytes and immunized four times with PKM. Anti-Gal response in 10 KO mice receiving Ad $\alpha$ GT transduced lymphocytes ( $\circ$ ) or in 10 control mice receiving lymphocytes transduced with parental adenovirus vector ( $\bullet$ ). (b) Mean $\pm$ s.e. of anti-Gal IgG response in 10 KO mice tolerized with Ad $\alpha$ GT ( $\circ$ ) or in 10 control mice ( $\bullet$ ). Statistical analysis by Student's t-test indicated significant differences between the two groups at all serum dilutions ( $P < 0.001$ ). (c) Production of anti-non-Gal IgG in the KO mice presented in Figure 6a as measured by ELISA with wells coated with PKM. Anti-non-Gal activity in control KO mice ( $\bullet$ ) or in tolerized mice ( $\circ$ ). Representative data of three out of 10 mice in each group with similar results.

[0022] **Figure 7.** Tolerance induction on memory anti-Gal B cells by Ad $\alpha$ GT transduced lymphocytes. (a) Production of anti-Gal in tolerized mice receiving Ad $\alpha$ GT transduced lymphocytes and immunized with PKM, 2 and 3 weeks post adoptive transfer. Anti-Gal IgG response in 16 KO mice tolerized by Ad $\alpha$ GT transduced lymphocytes and producing anti-Gal at a level of  $< 1.0$  OD at serum dilution of 1:50 ( $\circ$ ), in 12 KO mice tolerized by Ad $\alpha$ GT transduced lymphocytes and producing anti-Gal at a level of  $> 1.0$  OD at serum dilution of 1:50 ( $\Delta$ ), or in 18 control mice receiving lymphocytes transduced with parental 'empty' adenovirus vector ( $\bullet$ ). (b)

Mean $\pm$ s.e. of anti-Gal response in the three groups of KO mice presented in Figure 7a. Statistical analysis by Student's t-test indicated significant differences between the control and both of tolerized groups at all serum dilutions ( $P < 0.00001$ ). (c) Production of anti-Gal IgM in the group of control mice (●) or in mice that were effectively tolerized by Ad $\alpha$ GT transduced KO lymphocytes (○), as in Figure 7a. Data are presented as mean $\pm$ s.e. of 15 mice in each group. Statistical analysis by Student's t-test indicated significant differences between the two groups at all serum dilutions ( $P < 0.00001$ ).

[0023] **Figure 8.** Perpetuation of tolerance by WT heart. Anti-Gal IgG response in four irradiated KO mice that received memory anti-Gal B cells, tolerized, transplanted with WT heart and immunized four times with PKM, as described in the timeline protocol in Table 1 (○). The antibody activity was measured in the serum 1 week after the fourth PKM immunization. Anti-Gal response in control mice receiving the same primed anti-Gal B cells and immunized twice with PKM (●).

[0024] **Figure 9.** Analysis of anti-Gal production after secondary transfer of lymphocytes from tolerized mice. (a) Lymphocytes were transferred from tolerized mice or control mice (presented in Figure 7a) into irradiated secondary recipients ( $20 \times 10^6$  lymphocytes per mouse). These recipients ( $n=5$  in each group) were immunized twice with PKM, starting 14 days post second adoptive transfer. Anti-Gal response in secondary recipients of tolerized lymphocytes (○) or of control lymphocytes (●) was measured 1 week after the second PKM immunization. (b) Analysis of regulatory cell activity in tolerized mice by evaluating anti-Gal IgG response after secondary transfer of  $20 \times 10^6$  lymphocytes from control mice (●), or of mixed populations of  $20 \times 10^6$  lymphocytes from control mice and  $20 \times 10^6$  lymphocytes from tolerized mice (○). The secondary recipients ( $n=5$  in each group) were immunized twice with PKM starting 14 days post adoptive transfer.



### DETAILED DESCRIPTION OF THE INVENTION

[0025] The present invention relates to the induction of immune tolerance to a predetermined antigen (or immunogen) that here is illustratively a carbohydrate antigen. In accordance with another embodiment, a host mammal substantially free of circulating antibodies that specifically immunoreact with the antigen is provided. Autologous white blood cells (from the host mammal) that express the predetermined antigen on the cell surface are administered (inoculated) into the blood stream of the host mammal one or more times. The host mammal can be a human patient or non-human mammal, such as a mouse, rat, dog, cat, horse, cow, goat, pig or the like.

[0026] The studies disclosed herein indicate that autologous white blood cells from a patient that are transduced *in vitro* with a glycosyltransferase gene within a virus or other vector, when inoculated back into the patient, induced immune tolerance to the carbohydrate epitope produced on the transduced cells after expression of the glycosyltransferase. The subsequent transplantation of a graft expressing the carbohydrate epitope does not induce antibody production against that epitope. Without limiting the scope of the invention, it is believed that tolerance is induced primarily by lymphocytes expressing the tolerizing antigen. These lymphocytes are capable of effectively migrating to the lymphoid organs where they encounter the B cells which are the target for tolerance induction.

[0027] The present methods preferably use cell populations that are primarily composed of white blood cells. More preferably the white blood cells will make up greater than 90 percent, such as 95, 99 percent or more, of the cells in the population, and in particular lymphocytes. One disclosed method for obtaining a cell sample enriched in white blood cells is disclosed in U.S. Patent No. 5,785,869. In some preferred embodiments the white blood cells are isolated from a cell population obtained from a patient or patients of interest, such as in individual having an allergic reaction or one which has, or is proposed to undergo, tissue transplantation.

[0028] The present disclosure more particularly describes a method for preventing antibody production in host mammals by inducing tolerance in that host mammal to a blood group antigen, such as blood group A [GalNAc $\alpha$ 1-3(Fuc $\alpha$ 1-2)Gal-R] or blood group B antigens [Gal $\alpha$ 1-3(Fuc $\alpha$ 1-2)Gal-R] in ABO incompatible (mismatched) allograft recipients, or to  $\alpha$ -gal epitopes [Gal $\alpha$ 1-3Gal $\beta$ 1-(3)4GlcNAc-R] in xenograft recipients, where R is the remainder of carbohydrate chain, glycolipid, glycopeptide, glycoprotein or any other molecule to which the antigen is bonded. Such tolerance induction helps in prevention of immune rejection of such grafts.

[0029] More specifically, this principle to tolerance induction to carbohydrate antigens was demonstrated in  $\alpha$ 1,3galactosyltransferase ( $\alpha$ 1,3GT) knockout mice with splenocytes transduced by adenovirus vector containing the  $\alpha$ 1,3GT gene. The  $\alpha$ 1,3GT enzyme adds an alpha-linked ( $\alpha$ -linked) galactose to a precursor glycoprotein, glycopeptide, glycolipid or other glycan molecules so that predetermined antigen contains an  $\alpha$ -linked galactose (or galactosyl group). Following such treatment, these mice lack the ability to produce the anti-Gal antibody upon immunization with pig kidney membranes expressing  $\alpha$ -gal epitopes. This method for tolerance induction can be used in humans for prevention of anti-blood group antibodies in ABO incompatible allograft recipients, or in prevention of anti-Gal production in xenograft recipients.

[0030] The method of tolerance induction to a given antigen by *in vitro* transduction of autologous cells with one or more genes that cause the expression of the antigen followed by administration of the autologous cells expressing the antigen into a mammalian host such as a patient, can be used for inducing tolerance to a variety of antigens such as carbohydrate antigens, MHC antigens, antigens in autoimmune diseases, including those mediated by antibodies (e.g., Graves disease or pernicious anemia), or antigens that cause allergic reactions, such as food antigens or latex antigens. Common food antigens include nut (e.g., peanut, walnut, almond, pecan, cashew, hazelnut, pistachio, pine nut, brazil nut) antigens, such as Ara h 1-3, fish antigens, shellfish (e.g., shrimp, crab, lobster, clams) antigens, such as tropomyosin, egg antigens, cow milk antigens, such as casein lactalbumin, lactoglobulin, bovine albumin, and

gamma globulin, seed (e.g., sesame, poppy, mustard) antigens, and wheat antigens, for example glutens, such as prolamines, which include gliadin, and glutelins. Suitable antigens that can be targeted according to the present methods are also discussed in U.S. Patent Application Publication 2004/0009906.

[0031] This method of tolerance induction can be used for a number of types of transplantation, autoimmunity and allergy including without limitation those discussed below. In some instances, particularly where a subject is being tolerized for a protein antigen, the T cells of the patient can be suppressed through means known in the art to prevent T cell help. Without limiting the scope of the invention, T cell suppression is believed to be beneficial in order to prevent the T cells from rescuing B cells. Generally, the T cells can be suppressed prior to administration of the transduced cells, during administration of the transduced cells and/or for a period of time after the transduced cells have been administered. Typically, subject immunosuppression will be started at least two to three weeks prior to administration of the transduced cells and discontinued after about two weeks post administration. In some embodiments, such as when the mammal is immunosuppressed, then no additional immunosuppression may be required to induce tolerance to the antigen.

[0032] Any suitable immune suppression therapy can be used, such as radiation treatment, administering immunosuppressive drugs, and/or monoclonal antibodies that specifically reduce the activity of T lymphocytes. Immunosuppressive drugs include steroids, such as corticosteroids including prednisone, cyclosporin, tacrolimus (FK506), sirolimus (rapamycin), methotrexate, mycophenolic acid (mycophenolate mofetil), everolimus, azathioprine, and NOX-100. Suitable suppression techniques, which can also include the administration of antiproliferative agents, are discussed in U.S. Patent Application Publication 2004/0009906.

A. Transplantation of ABO mismatched allograft:

[0033] Blood group A patient that is to receive an allograft from a blood group B donor can exhibit tolerance to blood group B antigen by *in vitro* transduction of his/her white blood

cells, in particular lymphocytes, with an adenovirus vector containing the blood group B transferase [Yamamoto *et al.*, Nature 345:229, 1990], or other suitable vector containing this gene. The transduced cells upon administration into the blood circulation of the patient express blood group B antigen on the white blood cells that induce tolerance to this antigen and thus prevent the production of anti-blood group B antibodies in the graft recipient. The tolerance can be induced because these white blood cells do not activate T cells. In the absence of T cell activation, the interaction between B cells and the blood group B antigens they recognize which is expressed on the white blood cells, results in elimination of these B cells and tolerance induction to the blood group B antigen.

[0034] The same tolerance will occur in blood group O recipients of an allograft from a blood group B donor. Thus, tolerance to blood group A antigen can be induced in blood group B or O individuals receiving autologous white blood cells that were transduced with a vector containing blood group A transferase [Yamamoto *et al.*, Nature 345:229, 1990]. This tolerance induction is performed after removal of the corresponding anti-A or anti-B antibody from the blood by columns expressing the corresponding carbohydrate antigen [Bensinger *et al.*, N Engl. J. Med. 304:160, 1981], or by plasmapheresis.

B. Transplantation of human patients with a xenograft:

[0035] White blood cells from a patient in need for a xenograft can be transduced *in vitro* with a vector containing  $\alpha 1,3$ GT gene (e.g. adenovirus containing this gene and referred to as Ad $\alpha$ GT), then administered (inoculated) into the blood circulation of the patient. This results in induction of tolerance to the  $\alpha$ -gal epitope and prevent anti-Gal response to  $\alpha$ -gal epitopes on the xenograft cells and prevent anti-Gal response upon transplantation of the xenograft. This tolerance induction is performed after removal of anti-Gal from the blood by a column expressing  $\alpha$ -gal epitopes [Galili Seminars in Immunopathol. 15:155, 1993], or by plasmapheresis.

[0036] The method for induction of tolerance by expression of an antigen on autologous white blood cells, can be used for induction of tolerance to other antigens that can be expressed by *in vitro* transduction of the autologous cells with the corresponding gene coding for the antigen, or for an enzyme(s) producing the antigen, as indicated in the following examples.

C. Tolerance induction to MHC antigens

[0037] White blood cells from a patient in need for a graft, such as a kidney graft, and receiving such a graft from a living donor relative can be used to tolerize the patient to the known MHC allo-antigens of the donor. The white blood cells are transduced *in vitro*, such as with an adenovirus vector containing the gene encoding for the donor's allo-antigens. The transduced white blood cells are administered back into the patient who is simultaneously treated with an immunosuppressive regimen to prevent T cell help. The B cells interacting with the autologous white blood cells that express the transduced MHC antigens will be deleted or ablated. The subsequent transplantation of the organ will result in perpetuation of the state of tolerance since, in the absence of antigen specific B cells, the MHC allo-antigen on the graft will be "regarded" by the immune system of the recipient as a self antigen.

D. Tolerance induction to antigens in autoimmune diseases

[0038] An example of B cell tolerance to an antigen which has a role in autoimmunity is Graves Disease, where antibodies produced by B cells with specificity to thyroid stimulating hormone receptor (TSHR) continuously stimulate the thyroid cells expressing this receptor. According to the present invention, autologous white blood cells including lymphocytes from Graves Disease patients will be transduced *in vitro*, such as with an adenovirus vector containing the previously cloned human TSHR gene [Misrahi *et al.* Biochem. Biophys. Res. Comm. 166:349-403, 1990], or this gene will be introduced by any other method to these cells. The transduced white blood cells will be administered back into the patients subsequent to the removal of the anti-TSHR antibodies by various methods such as plasmapheresis. The patient will be also immunosuppressed to prevent T cell help. The administered white blood cells will

induce tolerance by deletion of the B cells that are capable of producing anti-TSHR antibodies. Subsequently, the TSHR on the thyroid cells will be regarded as a self antigen that does not elicit an immune response.

E. Tolerance induction to an allergen

[0039] In individuals who produce allergy mediated antibodies (i.e. IgE antibodies) to a known allergen (an allergy inducing antigen), the production of the antibodies can be prevented by *in vitro* manipulation of autologous white blood cells that include lymphocytes for expression of the allergen. Subsequently, the autologous white blood cells are administered back into the patient, in whom the circulating antibodies have been removed, such as by plasmapheresis or by any other method, and T cell help is prevented by an immunosuppressive regimen. When they encounter B cells capable of producing the allergy inducing antibodies, the white blood cells expressing the allergen induce the deletion of these B cells.

[0040] The experimental model used for understanding tolerance induction to  $\alpha$ -gal epitopes is  $\alpha$ 1,3galactosyltransferase knockout mice (designated KO mice). These mice lack  $\alpha$ -gal epitopes and can produce high affinity anti-Gal IgG when immunized with pig kidney membranes (PKM) expressing  $\alpha$ -gal epitopes [Tanemura *et al.*, J. Clin. Invest. 105: 301, 2000]. It was found that in order to produce anti-Gal IgG, the anti-Gal producing B cells (designated anti-Gal B cells) need the help of T helper ( $T_H$ ) cells that are activated by many different xenopeptides processed and presented by these B cells.

[0041] However,  $T_H$  cells can not be activated by the  $\alpha$ -gal epitope itself. MHC molecules on antigen presenting cells (APCs) can not present cell surface carbohydrate antigens to T cells. This is because most cell surface N-linked (asparagine linked) carbohydrate chains have a size that is similar to the size of a 25-30 amino acid peptide, and they protrude from the groove of MHC molecule on APCs to a considerable distance [Spier *et al.*, Immunity 10:51, 1999]. This protrusion prevents interaction of accessory T cell receptor (TCR) molecules with

the corresponding ligands on APCs, after the initial engagement of the TCR with processed carbohydrate antigens.

[0042] Because of these structural constraints, T cells cannot be activated by carbohydrate chains linked to peptides that are processed and presented by APCs. The activation of the T<sub>H</sub> cells is enabled, however, by the interaction of the TCR on the T<sub>H</sub> cells with xenopeptides that are processed and presented by anti-Gal B cells. These xenopeptides originate from xenoglycoproteins released from the xenograft, which engage the B cell receptors on anti-Gal B cells via  $\alpha$ -gal epitopes. The glycoproteins are internalized, processed and expressed on these B cells as xenopeptides in association with MHC molecules. As shown schematically in Fig. 1A the interaction of these xenopeptides with the corresponding TCR results in the activation of the T<sub>H</sub> cells which, in turn, help the anti-Gal B cells to undergo activation for effective production of anti-Gal IgG epitopes [Tanemura *et al.*, J. Clin. Invest. 105: 301, 2000].

[0043] Without wishing to be bound by theory, it is believed that in the absence of T<sub>H</sub> cell help,  $\alpha$ -gal epitopes on syngeneic cells (i.e. cells from other animals of the same strain), or autologous cells, rather than on xenogeneic cells, will bind to anti-Gal B cells and induce tolerance by cross linking of the B cell receptors (Fig. 1B). Under such conditions, T<sub>H</sub> cells are not activated since syngeneic or autologous cells manipulated to express the  $\alpha$ -gal epitope, display no antigens that can activate T cells. In the case of protein antigens like MHC allo-antigens, T cell help is prevented by immunosuppression of the T cells by clinically acceptable regimens. It is further believed that the expression of  $\alpha$ -gal epitopes on syngeneic or autologous lymphocytes, and other cells, can be achieved by introducing the  $\alpha$ 1,3GT gene into these cells, by transduction with a replication defective adenovirus vector containing the  $\alpha$ 1,3GT gene. Administration (inoculation) of autologous white blood cells that were transduced *in vitro* to express  $\alpha$ -gal epitopes is believed to induce tolerance to this epitope.

[0044] To prepare a replication defective adenovirus vector containing the  $\alpha$ 1,3GT gene, the open reading frame of mouse  $\alpha$ 1,3GT cDNA [Larsen *et al.*, Proc Natl Acad Sci USA

86:8227, 1989] was cloned into human adenovirus type 5. This virus is replication defective because the genes coding for early antigens E1 and E3 were deleted from the virus genome [Gao *et al.*, J. Virol. 70:8934, 1996]. This vector can be propagated as a replicating virus only in the human cell line 293, in which the viral E1 gene is integrated as complementing genes [Gao *et al.*, J. Virol. 70:8934, 1996].

[0045] The  $\alpha$ 1,3GT cDNA was inserted into the adenovirus shuttle plasmid pAd, which then was co-transfected into the 293 cells with the adenovirus vector containing deletions in E1 and E3 regions. The  $\alpha$ 1,3cDNA was inserted in low frequency into the virus genome by homologous recombination of the flanking regions of the pAd plasmid. The individual plaques containing virus with inserted  $\alpha$ 1,3GT cDNA were screened by the *de novo* expression of  $\alpha$ -gal epitopes in the human 293 cells. This was measured by the binding of labeled *Bandeiraea (Griffonia) simplicifolia* IB4 lectin (BS lectin) that interacts specifically with  $\alpha$ -gal epitopes on mammalian cells [Wood *et al.*, Arch Biochem Biophys. 198:1, 1979]. The isolated clone of adenovirus containing the  $\alpha$ 1,3GT gene was designated Ad $\alpha$ GT [Deriy *et al.*, Glycobiology 12:135, 2002]. That adenovirus was prepared in supernatants of transduced 293 cell cultures at a concentration of  $1 \times 10^{10}$  infectious units (IU)/ml (i.e. viral vector suspension kills 293 cells up to a dilution of  $1 \times 10^{-10}$ ).

[0046] The ability of Ad $\alpha$ GT to induce expression of  $\alpha$ -gal epitopes in human HeLa cells which lack  $\alpha$ -gal epitopes was described in detail in a recent publication [Deriy *et al.*, Glycobiology 12:135, 2002]. Figure 2 from that study demonstrates the expression of  $\alpha$ -gal epitopes by binding of BS lectin (Fig. 2A) and binding of labeled isolated human anti-Gal (Fig. 2B) [Galili *et al.*, J. Exp. Med. 162:573, 1985]. HeLa cells were incubated with the tissue culture supernatants containing the virus for 4h at 37°C, then washed and incubated for additional 24h in culture medium. Subsequently, the cells were found to express many  $\alpha$ -gal epitopes as indicated by the binding of BS lectin. By using the ELISA inhibition assay for quantifying  $\alpha$ -gal epitopes [Galili *et al.*, Transplantation 65: 1129, 1998], the transduced HeLa cells were found to express on average  $2 \times 10^6$   $\alpha$ -gal epitopes per cell 24h post transduction. Analysis of activity of  $\alpha$ 1,3GT in



the transduced HeLa cells revealed the appearance of  $\alpha$ 1,3GT in the cells within 6h post transduction, whereas  $\alpha$ -gal epitopes appeared on the cell membrane within 12h post transduction [Deriy *et al.*, Glycobiology 12:135, 2002].

[0047] Any technique for the introduction of heterologous, nucleic acids encoding the antigens or enzymes that produce the antigen into host cells into white blood cells, and particularly lymphocytes, can be adapted to the practice of this invention. In alternative embodiments, the white blood cells of an individual can be engineered *in vivo* to express the antigen of interest.

[0048] The present invention also provides various compositions, which generally include the vectors, white blood cells or progenitor cells described herein. A person having ordinary skill in this art would readily be able to determine, without undue experimentation, the appropriate dosages (i.e., cell numbers, concentrations, vectors, etc.) to achieve the immune tolerance. When used *in vivo* for therapy, the formulations of the present invention are administered to the patient in therapeutically effective amounts; i.e., amounts that induce at least partial immune tolerance. As with all pharmaceuticals, the dose and dosage regimen will depend upon the nature of the antigen, the characteristics of the particular active agent (e.g., its therapeutic index), the patient, the patient's history and other factors. Again, dose and dosage regimen will vary depending on a number of factors known to those skilled in the art. See Remington's Pharmaceutical Science, 17th Ed. (1990) Mark Publishing Co., Easton, Pa.; and Goodman and Gilman's: The Pharmacological Basis of Therapeutics 8th Ed (1990) Pergamon Press.

[0049] The present invention also provides kits for carrying out the methods described herein. In one embodiment, the kit is made up of instructions for carrying out any of the methods described herein. The instructions can be provided in any intelligible form through a tangible medium, such as printed on paper, computer readable media, or the like. The present kits can also include one or more reagents, buffers, media, proteins, analytes, labels, antigens, genetic material encoding antigens, cells, such as engineered or non-engineered white blood cells,

computer programs for analyzing results and/or disposable lab equipment, such as culture dishes or multi-well plates, in order to readily facilitate implementation of the present methods.

Examples of preferred kit components can be found in the description above and in the following examples.

[0050] The present methods can involve any or all of the steps or conditions discussed above in various combinations, as desired. Accordingly, it will be readily apparent to the skilled artisan that in some of the disclosed methods certain steps can be deleted or additional steps performed without affecting the viability of the methods.

### **Example 1**

[0051] In this example, the ability of Ad $\alpha$ GT transduced lymphocytes to induce tolerance was determined in KO mice.

#### **Materials and methods**

##### **Mice and immunization procedures**

[0052] Inbred  $\alpha$ 1,3GT KO mice lacking  $\alpha$ -gal epitopes [Thall *et al.* J Biol Chem 1995; 270: 21437–21442] on pure H-2b background, and their ‘syngeneic’ WT C57BL/6 counterpart, which differ only in that they also express  $\alpha$ -gal epitopes, were used in this study. Experiments were performed with both males and females, and in compliance with the relevant laws and guidelines of the IACUC committee at Rush University, which approved the study. Activation of anti-Gal B cells and production of anti-Gal was achieved in KO mice by four weekly intraperitoneal immunizations, each with 50 mg PKM, as previously described. Ogawa, *et al.*, Blood 2003; 101:2318, 2320; Mohiuddin, *et al.*, Blood 2003; 102:229-236; Tanemura, *et al.*, Transplantation 2002; 73:1859-1868; Tanemura, *et al.*, J Clin Invest 2000; 105:301-310.

### **Transduction of KO lymphocytes by Ad $\alpha$ GT**

[0053] The replication-defective adenovirus vector containing the  $\alpha$ 1,3GT gene (Ad $\alpha$ GT) was propagated in 293 cells as previously described. Deriy *et al.* Glycobiology 2002; 12: 135–144. For transduction, KO lymphocytes were incubated for 4 h with Ad $\alpha$ GT at  $\sim 1 \times 10^{10}$  infectious units/ml in RPMI medium containing 10% fetal bovine serum. Subsequently, the lymphocytes were washed and administered into mice via the tail vein, as  $20 \times 10^6$  lymphocytes/mouse. Control lymphocytes were transduced with the parental ‘empty’ adenovirus vector, [Gao *et al.* J Virol 1996; 70 : 8934–8943] lacking  $\alpha$ 1,3GT insert.

### **Flow cytometry analysis of $\alpha$ -gal epitope expression on transduced lymphocytes**

[0054] KO lymphocytes were incubated at a concentration of  $10 \times 10^6$  cells/ml for 30 min at 4°C with 10  $\mu$ g/ml fluoresceinated (FITC)-B. simplicifolia IB<sub>4</sub> lectin (BS lectin) (Sigma, St Louis, MO, USA), in PBS containing 1% BSA. This lectin binds specifically to  $\alpha$ -gal epitopes. (Wood, *et al.*, Arch Biochem Biophys 1979; 198:1-11). The cells were also stained with phycoerythrin (PE) labeled antimouse CD45R/B220 and anti-CD3 (Pharmingen, San Diego, CA, USA) for identification of B and T cells, respectively. PE-conjugated anti-mouse CD11b/MAC-1 (Pharmingen) was used to stain monocytes, macrophages and dendritic cells. Cells were then washed, fixed and analyzed by FACSCalibur flow cytometer (Becton Dickinson, San Jose, CA, USA).

### **PCR analysis of transduced lymphocytes**

[0055] Genomic DNA was extracted from spleen lymphocytes and subjected to PCR analysis for exon 9 of mouse  $\alpha$ 1,3GT gene (i.e. the exon containing the catalytic domain and which is disrupted in KO mice [Thall *et al.* J Biol Chem 1995; 270: 21437–2144]), using primers 5'-AGACTTTCTGGAGTCTGCTGACAT-3' (SEQ ID NO: 1) and 5'-TACCTTGACACTTTTAATATCTGA-3' (SEQ ID NO:2). (Larsen *et al.*, Proc Natl Acad Sci USA 1989; 86:8227-8231). The PCR was performed with 35 cycles, each including 30 s at 94°C, 20 s at 62°C and 20 s at 72°C, followed by 5 min at 72°C. The first cycle included 5 min at 94°C

to achieve complete denaturation of the DNA. The PCR yields a product of size 628 bp. Since this exon is disrupted in KO mice, a PCR product in this assay indicates the presence of the intact  $\alpha 1,3$ GT gene introduced by Ad $\alpha$ GT, as previously demonstrated. (Deriy, *et al.*, Glycobiology 2002; 12:135-144).

#### **Tolerance induction by Ad $\alpha$ GT transduced lymphocytes**

[0056] Tolerization of naive anti-Gal B cells. Naive KO mice received via the tail vein  $20 \times 10^6$  Ad $\alpha$ GT transduced KO spleen lymphocytes on days 0, 4 and 9. The mice received four weekly PKM immunizations starting on day 14. Anti-Gal was determined a week after the fourth PKM immunization.

#### **Tolerization of memory anti-Gal B cells.**

[0057] Spleen lymphocytes including memory anti-Gal B cells were obtained from KO mice immunized four times with PKM. (Mohiuddin *et al.*, Blood 2003; 102:229-236; Tanemura, *et al.*, J Clin Invest 2000; 105:301-310; Mohiuddin *et al.*, Transplantation 2003; 75:248-262). Lymphocytes pooled from PKM-immunized mice were administered as  $20 \times 10^6$  cells/mouse into the tail vein of lethally irradiated KO mice (10.5 Gy). These mice also received  $20 \times 10^6$  bone marrow cells from naive KO mice for producing all needed blood series, and  $20 \times 10^6$  Ad $\alpha$ GT transduced lymphocytes, or control  $20 \times 10^6$  KO lymphocytes transduced with the parental replication-defective adenovirus lacking the  $\alpha 1,3$ GT gene. Gao, *et al.*, J Virol 1996; 70:8934-8943. Administration of transduced lymphocytes was repeated on days 4 and 9. PKM immunization was performed on days 14 and 21 post adoptive transfer and anti-Gal production determined on day 28.

#### **ELISA studies**

[0058] Production of anti-Gal IgG in KO mice immunized with PKM was measured in serially diluted serum samples by ELISA in wells coated with 10  $\mu$ g/ml synthetic  $\alpha$ -gal epitopes coupled to BSA ( $\alpha$ -gal -BSA, Dextra, Reading, UK). (Ogawa, *et al.*, Blood 2003; 101: 2318-2320; Mohiuddin, *et al.*, Blood 2003; 102:229-236; Tanemura *et al.*, J Clin Invest 2000; 105:301-

310; Mohiuddin *et al.*, Transplantation 2003; 75:258-262). After 2 h incubation, the plates were washed and incubated with peroxidase-coupled goat anti-mouse IgG (Accurate Chemicals Labs, Westbury, NY, USA) and color developed with o-phenylenediamine (Sigma). Nonspecific binding was measured in ELISA wells coated with BSA and the data were subtracted from those in corresponding serum dilutions in ELISA with  $\alpha$ -gal BSA. Anti-Gal IgM was measured by the same method using goat anti-mouse IgM (Accurate Chemicals Labs) as a secondary antibody. Since PKM-immunized mice produce many IgM antibodies that bind nonspecifically to ELISA wells, (Tanemura, *et al.*, Transplantation 2002; 73:1859-1868) the sera were adsorbed on an equal volume of  $\alpha$ -galactosidase-treated PKM (i.e. PKM lacking  $\alpha$ -gal epitopes). This adsorption is performed for removal of such nonspecific IgM molecules prior to the ELISA for anti-Gal IgM.

[0059] An additional ELISA was performed for measuring production of IgG antibodies to the large variety of immunogenic pig xeno-proteins (anti-non-Gal antibodies). (Tanemura, *et al.*, Transplantation 2002; 73:1859-1868). For this purpose, PKM (1 mg/ml) were dried in ELISA wells, resulting in their firm adherence. These membranes served as solid-phase antigen. Wells were blocked with 1% BSA in PBS. The assay was performed as that measuring anti-Gal above. The sera used were depleted of anti-Gal prior to the assay, by adsorption on glutaraldehyde-fixed rabbit red cells, which express an abundance of  $\alpha$ -gal epitopes. (Galili *et al.*, Proc Natl Acad Sci USA 1987; 84:1369-1373).

### **Heart transplantation**

[0060] Heterotopic transplantation of WT mouse hearts was performed as previously described. (Ogawa, *et al.*, Blood 2003; 101:2318-2320; Mohiuddin *et al.*, Blood 2003; 102:229-236; Mohiuddin *et al.*, Transplantation 2003; 75:258-262). WT hearts from C57BL/6 donors are 'syngeneic' to KO mice but also express  $\alpha$ -gal epitopes. KO mice were transplanted with these hearts in the abdominal cavity by connecting the WT pulmonary artery to vena cava inferior and the WT aorta to the KO aorta. At 4 weeks after transplantation, the mice were immunized with

PKM every 2 weeks and tested for anti-Gal IgG response. The function of the heart was assessed by daily palpation.

## RESULTS

### Expression of $\alpha$ -gal epitopes on transduced KO lymphocytes

[0061] Expression of  $\alpha$ -gal epitopes on cells lacking it was achieved by the use of a replication-defective adenovirus vector, containing the ORF of the mouse  $\alpha 1,3$ GT gene. Deriy, *et al.*, Glycobiology 2002; 12:135-144. This adenovirus vector, designated Ad $\alpha$ GT, was found to transduce effectively human HeLa cells and induce the expression of  $\sim 2 \times 10^6$   $\alpha$ -gal epitopes/cell, within 24 h post transduction. Deriy, *et al.*, Glycobiology 2002; 12:135-144. The efficacy of Ad $\alpha$ GT transduction in inducing  $\alpha$ -gal epitope expression on KO lymphocytes was analyzed at various days following administration of transduced lymphocytes into irradiated KO mice.

[0062] Expression of  $\alpha$ -gal epitopes was determined by the binding of FITC-coupled *Griffonia (Bandeiraea) simplicifolia* IB4 lectin (BS lectin), which interacts specifically with  $\alpha$ -gal epitopes (Wood, *et al.*, Arch Biochem Biophys 1980; 198:1-11). and thus stains WT spleen lymphocytes (Figure 3h) but not KO spleen lymphocytes (Figure 3g). KO spleen lymphocytes obtained from the recipient of Ad $\alpha$ GT transduced lymphocytes demonstrated expression of this epitope on 10–20% of spleen lymphocytes on days 1–3 post transduction (Figures 3b–d). However, this expression was only marginal on day 4 (Figure 3e) and was not detected on day 7 (Figure 3f). KO lymphocytes transduced with control ‘empty’ adenovirus vector (Gao, *et al.*, J Virol 1996; 70:8934-8943) expressed no  $\alpha$ -gal epitopes at any of the time points (Figure 3a).

[0063] It was hypothesized that the observed diminished expression of  $\alpha$ -gal epitopes on Ad $\alpha$ GT transduced lymphocytes may be the result of destruction of the Ad $\alpha$ GT genome by cellular endonucleases, within several days post transduction. To test this hypothesis, a PCR analysis for amplification of the intact exon 9 of  $\alpha 1,3$ GT gene was performed. This exon can be

amplified from as little as 3 ng of WT lymphocyte DNA to yield a PCR product of 628 bp (Figure 4a). In KO mice, exon 9 of the  $\alpha$ 1,3GT gene is disrupted by neomycin resistance gene (Thall *et al.* J Biol Chem 1995; 270: 21437–2144) and, therefore, is not amplified by PCR. Amplification of exon 9 occurs only if it is introduced into the cells in its intact form by the transducing Ad $\alpha$ GT vector. The intact exon 9 was readily amplified from 100 ng DNA template of KO lymphocytes transduced with Ad $\alpha$ GT, 24 h post transduction (Figure 4b). Amplification of exon 9 within Ad $\alpha$ GT transduced lymphocytes gradually decreased when the DNA template was obtained from lymphocytes on days 2 and 3, and could not be detected in DNA obtained from lymphocytes on day 4 post transduction (Figure 4c). These mice were also studied for the presence of Ad $\alpha$ GT in mesenteric and axillary lymph nodes and in the bone marrow. All PCR results were found to be negative for exon 9 when measured on day 4. This implied that the lack of spleen lymphocytes expressing  $\alpha$ -gal epitopes on day 4 was indeed the result of the destruction of intracellular Ad $\alpha$ GT and not because such cells migrated to other lymphoid organs.

[0064] Analysis of  $\alpha$ -gal epitope expression in various lymphoid populations indicated that ~23% of Ad $\alpha$ GT transduced T cells (stained with anti-CD3), 15% of B cells (stained with anti-CD45R/B220) and 27% of monocytes, macrophages and dendritic cells (stained by anti-CD11b/Mac-1) expressed this epitope on day 2 post transduction (Figures 5b, e and h, respectively). All WT populations tested displayed positive shift for BS lectin staining (Figures 5c, f and i). In contrast, KO populations transduced with the parental adenovirus vector did not express  $\alpha$ -gal epitopes (Figures 5a, d and g).

#### **Tolerance induction on naive anti-Gal B cells by Ad $\alpha$ GT transduced KO lymphocytes**

[0065] The proportion of anti-Gal B cells in KO mice is too low for reliable identification by flow cytometry. Mohiuddin, *et al.*, Blood 2003; 102:229-236; Tanemura, *et al.*, J Clin Invest 2000; 105:301-310. Therefore, tolerization of these cells could not be monitored by flow cytometry, and had to be assessed by a functional assay measuring anti-Gal production following

immunization with pig kidney membranes (PKM). Naive nonirradiated KO mice received  $20 \times 10^6$  Ad $\alpha$ GT transduced KO lymphocytes via the tail vein. Since expression of  $\alpha$ -gal epitopes on the transduced lymphocytes is transient and is limited to only few days (Figure 3), administration of transduced lymphocytes was repeated on days 4 and 9. Anti-Gal production was measured by ELISA after four weekly PKM immunizations that started 14 days after the first administration of Ad $\alpha$ GT transduced KO lymphocytes.

[0066] Control mice underwent a similar immunization protocol but received KO lymphocytes transduced with control parental adenovirus lacking the  $\alpha$ 1,3GT gene.(Gao, *et al.*, J Virol 1996; 70:8934-8943). Whereas all 10 control mice displayed an effective anti-Gal IgG response, nine out of 10 mice receiving Ad $\alpha$ GT transduced lymphocytes displayed no anti-Gal production, or only marginal activity ( $<1.0$  OD at serum dilution of 1:50) of this antibody (Figure 6a). The mean anti-Gal activity in the 10 tolerized mice was 32-fold lower than that in the control mice, that is, the mean of 0.5 OD observed in tolerized mice at serum dilution of 1:50 was observed in control mice in the 32-fold higher dilution of 1:1600 (Figure 6b). This tolerance was specific to anti-Gal B cells, as demonstrated by ELISA studies with PKM as solid-phase antigen. Mice with tolerized anti-Gal B cells produced antibodies to pig peptide xenoantigens (antinon-Gal antibodies)(Tanemura *et al.*, Transplantation 2002; 73:1859-1968) in titers similar to those in control mice (Figure 6c).

### **Tolerization of memory anti-Gal B cells**

[0067] Anti-Gal B cells in humans constitute as many as 1% of circulating B lymphocytes. Galili *et al.*, Blood 1993; 82:2485-2493. Many of these B cells are lymphocytes primed by the cognate carbohydrate antigen on gastrointestinal bacteria. Galili *et al.*, Infect Immun 1988; 56:1730-1737; Springer, *et al.*, J Clin Invest 1969; 48:1280-1291. Memory B cells could be generated in KO mice by four PKM immunizations, in parallel to the production of anti-Gal. Tanemura *et al.*, J Clin Invest 2000; 105:301-310. This elicited anti-Gal can destroy cells expressing  $\alpha$ -gal epitopes; therefore, PKM-immunized KO mice are not suitable for studying



tolerance induction by Ad $\alpha$ GT transduced lymphocytes. Whereas anti-Gal can be effectively removed in primates by affinity columns expressing  $\alpha$ -gal epitopes, (Galili, Springer Semin Immunopathol 1993; 15:155-171; Lin, *et al.*, Transplantation 2000; 70:1667-1674; Watts *et al.*, Xenotransplantation 2000; 7:181-185) such a treatment is technically not feasible in mice. Nevertheless, the detrimental effect of anti-Gal could be avoided in this experimental model by adoptive transfer of  $20 \times 10^6$  lymphocytes from PKM-immunized KO mice (i.e. lymphocytes including memory anti-Gal B cells) and  $20 \times 10^6$  naive KO bone marrow cells into lethally irradiated KO recipients. Mohiuddin, *et al.*, Blood 2003; 102:229-236. Transferred memory anti-Gal B cells are less reactive when the recipient has an intact lymphoid system, than in lethally irradiated mice. Mohiuddin, *et al.*, Blood 2003; 102:229-236. This is probably because of migration and function of transferred lymphocytes in lymphoid organs that are already 'packed' with autologous lymphocytes. Therefore, in order to measure the full potential of transferred memory anti-Gal B cells, the recipients were irradiated with 10.5 Gy. This eliminates autologous lymphocytes and allows for effective homing of the transferred lymphocytes including memory anti-Gal B cells into the recipient's lymphoid organs.

[0068] Each of the 18 mice in the control group and the 28 mice in the experimental group received  $20 \times 10^6$  lymphocytes including memory anti-Gal B cells from the same pool of lymphocytes obtained from PKM immunized KO mice and  $20 \times 10^6$  bone marrow cells from naive KO mice (to provide for other blood cell series). Control mice also received KO lymphocytes transduced with the parental 'empty' adenovirus vector whereas experimental mice received KO lymphocytes transduced with Ad $\alpha$ GT. All control mice effectively produced anti-Gal IgG after two PKM immunizations (closed circles in Figures 7a and b). In contrast, 57% of mice in the experimental group also receiving Ad $\alpha$ GT transduced lymphocytes (16 out of 28 mice represented by open circles) displayed no anti-Gal IgG response or very low production of this antibody (<1.0 OD at serum dilution of 1:50). The mean anti-Gal IgG response in these 16 mice was >64-fold lower than that in the control mice receiving the same memory anti-Gal B cells (Figure 7b). No increase in anti-Gal IgG response was observed in the 16 mice after two

additional PKM immunizations on days 28 and 35. Overall, the findings in these 16 mice suggest an effective induction of tolerance on memory anti-Gal B cells by Ad $\alpha$ GT transduced lymphocytes. The remaining 12 mice in the experimental group (triangles in Figures 7a and b) displayed a low anti-Gal response ( $>1.0$  OD at serum dilution of 1:50 in Figure 7a), which on average was 32- fold lower than that in the control group (Figure 7b). These findings suggest that tolerance induction in this group may not have been fully achieved.

[0069] The mice in the tolerized group and in the control group in Figures 7a and 7b were further studied for anti-Gal IgM response. As shown in Figure 7c, control mice displayed effective production of anti-Gal IgM whereas tolerized mice failed to exhibit significant levels of this anti-Gal isotype.

#### **Transplantation of WT heart expressing $\alpha$ -gal epitopes into tolerized mice**

[0070] The suggestion that memory anti-Gal B cells are tolerized by Ad $\alpha$ GT transduced KO lymphocytes was further supported by studies on transplantation of 'syngeneic' mouse heart expressing  $\alpha$ -gal epitopes (i.e. WT heart from C57BL/6 mice). The timeline for this study is described in Table 1. It was previously reported that transplantation of WT hearts into KO mice producing anti-Gal results in hyperacute rejection because of anti-Gal binding to  $\alpha$ -gal epitopes on the WT endothelial cells of the transplanted heart. Ogawa *et al.*, Blood 2003; 101:2318- 2320; Mohiuddin, *et al.*, Blood 2003; 102:229-236. Accordingly, eight of the control mice in Figures 7a and b, which were heterotopically transplanted with WT mouse heart on day 28, rejected these hearts within 30 min to 18 h (Table 1). The previous studies indicated that this rejection is associated with deposits of anti-Gal IgM and IgG on the blood vessel walls and that the binding of this antibody to the  $\alpha$ -gal epitopes on WT cells results in complement-mediated cytolysis of these cells. Ogawa *et al.*, Blood 2003; 101:2318- 2320; Mohiuddin, *et al.*, Blood 2003; 102:229-236; Mohiuddin *et al.*, Transplantation 2003; 75:248-262.

Table 1 Perpetuation of tolerance to  $\alpha$ -gal epitopes by transplantation of WT heart

Irradiation and adoptive transfer of Ad $\alpha$ GT transduced lymphocytes and of lymphocytes from PKM immunized mice	Administration of Ad $\alpha$ GT transduced lymphocytes		PKM immunizations	Heterotopic WT heart transplantation		PKM Immunizations				Removal of transplanted WT heart
↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓
Time: 0	Days 4	9	Days 14	21	Day 28	Weeks 8	10	12	14	Week 19
Group	Number of mice	Additional PKM immunizations post transplantation	Survival time of WT heart							
Tolerized*	2	2	45 days <sup>c</sup>							
Tolerized*	3	3	62–64 days <sup>d</sup>							
Tolerized*	4	4	100 days <sup>e</sup>							
Control*	8	N/A	0.5–18 h							

\*No anti-Gal IgG response post repeated PKM immunizations was detected in any of the tolerized mice.

<sup>b</sup>All control mice produced anti-Gal IgG in high titers.

<sup>c</sup>Hearts were removed for histological evaluation.

<sup>d</sup>Mice died of unknown reason.

[0071] A similar procedure of heterotopic transplantation of syngeneic WT heart was performed on day 28 in nine of the tolerized mice presented in Figure 7a. No transplanted WT hearts were rejected in tolerized KO mice, as evaluated by daily palpation (Table 1). Starting 4 weeks after WT heart transplantation, the mice were repeatedly immunized with PKM every 2 weeks. The transplanted WT hearts continued to function despite these repeated immunizations (Table 1). Two of the transplanted WT hearts that were removed on day 45 displayed normal histologic characteristics (not shown). Three of the mice died 62–64 days post WT heart transplantation of unknown reasons, but the WT hearts in these mice were not rejected prior to death. In the remaining four mice, transplanted WT hearts continued to function for 100 days despite four PKM immunizations given after these hearts were transplanted (Table 1). Accordingly, no anti-Gal production was observed in these mice, 1 week after the fourth PKM immunization (Figure 8). In contrast, control mice, receiving memory anti-Gal B cells from the same pool of lymphocytes as the tolerized mice, but which were not transplanted with WT heart, readily produced anti-Gal following such PKM immunizations (Figure 8). These findings suggest that tolerance induced by Ad $\alpha$ GT transduced lymphocytes is perpetuated for long periods in mice transplanted with WT heart.

**Analysis of deletion or anergy of memory anti-Gal B cells in tolerized mice**

[0072] Previous studies have shown that tolerance to various protein antigens can be induced either by deletion or by anergy of the B cells with the corresponding specificities. Goodnow *et al.*, Nature 1988; 334:676-682; Goodnow *et al.*, Nature 1991; 352:532-536; Nemazee *et al.*, Nature 1989; 337:562-566. Since the proportion of physiologic memory anti-Gal B cells is low, (Mohiuddin *et al.*, Blood 2003; 102:229-236; Tanemura *et al.*, J Clin Invest 2000; 105:301-310) the fate of these cells could not be accurately determined by flow cytometry analysis, as performed in transgenic mice. Goodnow *et al.*, Nature 1988; 334:676-682; Goodnow *et al.*, Nature 1991; 352:532-536; Nemazee *et al.*, Nature 1989; 337:562-566. Therefore, deletion or anergy of memory anti-Gal B cells was studied by a functional assay determining their ability to produce anti-Gal after secondary adoptive transfer. The spleens in the tolerized mice (open circles in Figure 7a) contained  $\sim 0.5 \times 10^8$  lymphocytes per spleen. These lymphocytes were washed and transferred into irradiated KO mice as  $20 \times 10^6$  cells per mouse, 1 week after the second PKM immunization (i.e. on day 28). In accord with the data in Figures 3 and 4c, these transferred lymphocytes lacked cells expressing  $\alpha$ -gal epitopes and yielded no PCR product of exon 9 of the transduced  $\alpha 1,3$ GT gene even with 500 ng DNA as template (Figure 4d). This implied that Ad $\alpha$ GT was absent in lymphocytes that were transferred from the tolerized mice into secondary recipients.

[0073] Five secondary recipients of lymphocytes, each from a different tolerized mouse, were immunized with PKM, 14 and 21 days post adoptive transfer. These recipients displayed no significant anti-Gal IgG response when measured on day 28 post secondary adoptive transfer (Figure 9a). In contrast, secondary recipients of lymphocytes from the control nontolerized mice of Figure 7a displayed an effective anti-Gal response after two PKM immunizations (Figure 9a). The effective production of anti-Gal in the latter group implies that memory anti-Gal B cells in control nontolerized mice maintained their activity and were not affected by the secondary adoptive transfer.

[0074] Previous studies indicated that anergized B cells revert into an active state within 10 days after the removal of the anergizing antigen. Goodnow *et al.*, Nature 1991; 352:532-536. In the present study, lymphocytes transferred from tolerized mice resided in secondary KO recipients for 14 days in the absence of  $\alpha$ -gal epitopes. Based on the studies in Goodnow *et al.*, (Nature 1991; 352:532-536) this period of 14 days in the secondary recipient should have sufficed for the reversion of anergized memory anti-Gal B cells into competent B cells that are activated as a result of PKM immunization. Thus, the lack of significant anti-Gal response in the secondary recipients suggests that memory anti-Gal B cells are physically absent, that is, they could have been deleted upon encountering  $\alpha$ -gal epitopes on Ad $\alpha$ GT transduced lymphocytes.

[0075] The process of tolerance induction by Ad $\alpha$ GT transduced lymphocytes was further studied for the possible association with activity of regulatory lymphocytes, since such cells were found to control the immune response in a number of experimental models. Sakaguchi *et al.*, Immunol Rev 2001; 182:18-32; Shevach, Nat Rev Immunol 2002; 2:389-400. To study the possible presence of regulatory lymphocytes that may downregulate activity of anti-Gal B cells in tolerized mice, the secondary adoptive transfer experiments were repeated with mixed populations of lymphocytes from tolerized mice and from PKM-immunized control mice. The secondary recipients received two PKM immunizations, starting 14 days post adoptive transfer. It was assumed that if regulatory T cells are present in the tolerized mice, then such cells should downregulate the activity of memory anti-Gal B cells that originated in the control mice. The recipients of lymphocyte populations from both tolerant and control mice produced anti-Gal in titers that were only slightly lower and not significantly different from those in recipients of lymphocytes from only control mice (Figure 9b). This suggests that even if there are regulatory T cells in tolerized mice, these cells cannot account for the effective prevention of anti-Gal response in tolerized mice of Figure 7a.

## Discussion of Results

[0076] The present study demonstrates induction of tolerance on naive and memory anti-Gal B cells by administration of KO lymphocytes manipulated to express  $\alpha$ -gal epitopes, following *in vitro* transduction of such lymphocytes with Ad $\alpha$ GT. Studies of secondary transfer of lymphocytes from tolerized KO mice to irradiated recipients suggest that the observed tolerance is the outcome of deletion of anti-Gal B cells that engage  $\alpha$ -gal epitopes on Ad $\alpha$ GT transduced lymphocytes. Since the  $\alpha$ -gal epitope by itself lacks the ability of activating T cells, (Tanemura *et al.*, J Clin Invest 2000; 105:301-310) it is possible that the observed tolerance is the result of anti-Gal B cells engaging cell-surface  $\alpha$ -gal epitopes in the absence of T-cell help. It was previously proposed the occurrence of such a tolerizing mechanism in KO mouse recipients of 'syngeneic' WT lymphocytes. (Mohiuddin *et al.*, Blood 2003; 102:229-236). The studied anti-Gal B cells are physiologic B cells, rather than transgenic lymphocytes. Therefore, it is impossible at present to determine the exact mechanism for the B cell elimination. This tolerance could be the result of physical deletion of these B cell or may result from changes in antigenic specificity of anti-Gal B cells following receptor editing of the immunoglobulin genes in these B cells. (Radic *et al.*, J Exp Med 1993; 177: 1165-1173; Tiegs *et al.*, J Exp Med 1993; 177: 1009-1020). In addition, the possibility that the anti-Gal B cells are anergized for periods longer than 14 days cannot be completely excluded at present, although previous studies indicated that <10 days are required for reversion of anergized B cells into an active state. (Goodnow *et al.*, Nature 1991; 352:532-536). Only studies in 'knock in' transgenic mice producing anti-Gal, that is, mice in which a large proportion of B cells produce anti-Gal as the product of targeted transgene inserted into the immunoglobulin gene region, will enable an accurate characterization of the mechanism for tolerance induction in this experimental model.

[0077] Not all mice were fully tolerized, as some exhibited partial production of anti-Gal (~32-fold less than control mice). Previous studies on tolerance induction by WT lymphocytes indicated that the tolerizing WT lymphocytes and anti-Gal B cells 'need time to find each other' in order for the B cells to be tolerized. (Mohiuddin *et al.*, Blood 2003; 102:229-236). Anti-Gal B

cells that are not tolerized by the time of PKM immunization (i.e. within the period of 14 days) may be rescued from tolerance by T-cell help, resulting from the activation of helper T cells by the multiple immunogenic pig xenopeptides. (Mohiuddin *et al.*, Blood 2003; 102:229-236). Activity of such rescued anti-Gal B cells may explain the low anti-Gal activity in 12 of the 28 mice treated for tolerization of memory anti-Gal B cells. It is possible that repeated administration of Ad $\alpha$ GT transduced lymphocytes for >14 days may increase the proportion of mice that are effectively tolerized to the  $\alpha$ -gal epitope.

[0078] Although the expression of  $\alpha$ -gal epitopes on Ad $\alpha$ GT transduced lymphocytes lasts for 3–4 days, the tolerance induced by these lymphocytes can be perpetuated for prolonged periods by the subsequent transplantation of WT heart expressing this epitope. The transplanted WT hearts continued to function in the tolerized mice for 100 days, and these mice did not produce anti-Gal despite additional PKM immunizations post transplantation (Table 1 and Figure 8). It is probable that newly formed anti-Gal B cells that emerge in the bone marrow in these transplanted mice ‘regard’ the  $\alpha$ -gal epitope on the graft as a self-antigen and thus are tolerized by it.

[0079] Previous studies in this experimental animal model demonstrated induction of tolerance to  $\alpha$ -gal epitopes by administration of syngeneic WT bone marrow cells expressing  $\alpha$ -gal epitopes (Yang *et al.*, J Exp Med 1998; 187:1335-1342; Ohdan *et al.*, J Clin Invest 1999; 104:281-290) or by administration of autologous bone marrow cells transfected with retrovirus containing the  $\alpha$ 1,3GT gene. Bracy *et al.*, Science 1998; 281:1845-1947; Bracy *et al.*, Blood 2000; 96:3008-3015. Previous studies (Ogawa *et al.*, Blood 2003; 101:2318-2320; Mohiuddin *et al.*, Blood 2003; 102:229-236) and the present study, all indicate that this tolerance can be induced also by cells expressing  $\alpha$ -gal epitopes, other than bone marrow cells. Since all KO mice are syngeneic, the administered Ad $\alpha$ GT transduced KO lymphocytes may be regarded as autologous lymphocytes inducing tolerance. The present example further supports the possibility that tolerance to  $\alpha$ -gal epitopes may be induced by a similar method in humans. Lymphocytes obtained from the blood and transduced *in vitro* with Ad $\alpha$ GT may tolerize naive and memory

anti-Gal B cells following their administration back into the patient. Tolerization of anti-Gal B cells by autologous lymphocytes expressing  $\alpha$ -gal epitope can include the removal of the natural anti-Gal from the circulation, in order to prevent destruction of the tolerizing lymphocytes by the antibody. This can be achieved by affinity column expressing synthetic  $\alpha$ -gal epitopes. Galili, Springer Semin Immunopathol. 1993; 15:155-171; Lin *et al*, Transplantation 2000; 70:1667-1674; Watts *et al.*, Xenotransplantation 2000; 7:181-185. Since the transduction of lymphocytes by Ad $\alpha$ GT will be performed *in vitro*, it is probable that this method of gene therapy will not be affected by factors limiting *in vivo* gene therapy by adenovirus vectors, such as immune response to the virus. Chirmule *et al.*, Gene Therapy 1999; 6:1574-1583.

[0080] The relevance of this method for induction of tolerance to  $\alpha$ -gal epitopes in humans will first have to be tested in monkeys in order to determine whether this phenomenon, which is observed in mice, is also applicable to primates. If tolerance induction by autologous Ad $\alpha$ GT transduced lymphocytes is observed in monkeys, similar induction of tolerance may be considered for incompatible blood group A (GalNAc $\alpha$ 1-3[ $\alpha$ 1-2Fuc]-Gal  $\beta$ 1-4GlcNAc-R) or B antigens (Gal $\alpha$ 1-3[ $\alpha$ 1-2Fuc]- Gal  $\beta$ 1-4GlcNAc-R). The structure of these cell-surface carbohydrate antigens is very similar to that of the  $\alpha$ -gal epitope (Gal $\alpha$ 1-3Gal $\beta$ 1-4GlcNAc-R). Moreover, a large proportion of anti-blood group antibodies are in fact anti-Gal antibodies that bind to the  $\alpha$ -gal epitope that serves as the core structure for these blood group antigens. Galili *et al.*, J Exp Med 1987;165: 693-704; Galili, Transfus Med Rev 1988; 2:112-121; Galili *et al.*, Transplantation 2002; 74:1574-1580. ABO incompatibility is presently one of the major limiting factors in transplantation of allografts in humans. Starzl *et al.*, Surgery 1964; 55:195-200; Wilbrandt *et al.*, Am J Clin Pathol 1969; 51:15-23; Rydberg., Transfus Med 2001; 11:325-342; Cooper., J Heart Transplant 1990; 9:376-381. By using autologous lymphocytes transduced with adenovirus containing A or B transferase gene or both, (Yamamoto *et al.*, Nature 1990: 345:229-223). one may induce tolerance similar to that observed with Ad $\alpha$ GT transduced lymphocytes. As with tolerance induction to the  $\alpha$ -gal epitope, such a treatment can also include depletion of the corresponding anti-blood group antibody, prior to the administration of the transduced



lymphocytes. If successful, this method may ultimately allow for the transplantation from ABO-incompatible living donors without the risk of rejection as a result of immune response to the incompatible blood group antigen, such as of kidney allografts and ABO-incompatible heart in patients requiring urgent heart transplantation.

[0081] The use of the article “a” or “an” is intended to include one or more.

[0082] As will be understood by one skilled in the art, for any and all purposes, particularly in terms of providing a written description, all ranges disclosed herein also encompass any and all possible subranges and combinations of subranges thereof. Any listed range can be easily recognized as sufficiently describing and enabling the same range being broken down into at least equal halves, thirds, quarters, fifths, tenths, etc. As a non-limiting example, each range discussed herein can be readily broken down into a lower third, middle third and upper third, etc. As will also be understood by one skilled in the art all language such as “up to,” “at least,” “greater than,” “less than,” “more than” and the like include the number recited and refer to ranges which can be subsequently broken down into subranges as discussed above. In the same manner, all ratios disclosed herein also include all subratios falling within the broader ratio.

[0083] One skilled in the art will also readily recognize that where members are grouped together in a common manner, such as in a Markush group, the present invention encompasses not only the entire group listed as a whole, but each member of the group individually and all possible subgroups of the main group. Accordingly, for all purposes, the present invention encompasses not only the main group, but also the main group absent one or more of the group members. The present invention also envisages the explicit exclusion of one or more of any of the group members in the claimed invention.

[0084] All references disclosed herein are specifically incorporated by reference thereto. While preferred embodiments have been illustrated and described, it should be understood that

changes and modifications can be made therein in accordance with ordinary skill in the art without departing from the invention in its broader aspects as defined in the following claims.

[0085] The following references are hereby incorporated into the patent application in their entirety:

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